



PTO/SB/30 (5/2000)

Approved for use through xx/xx/xxxx. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000,  
provides for continued examination of an utility or plant application  
filed on or after June 8, 1995.

See the American Inventors Protection Act of 1999 (AIPA).

Application Number	09/451,915
Filing Date	December 1, 1999
First Named Inventor	R. NISHIMURA
Group Art Unit	2615
Examiner Name	L. Ye
Attorney Docket Number	H-864

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTOISB29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000) 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000) which establishes RCE practice.

## 1. Submission required under 37 C.F.R. § 1.114

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on \_\_\_\_\_.  
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_.  
iii. ☐ Other \_\_\_\_\_.
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other \_\_\_\_\_.

## 2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other \_\_\_\_\_.

## 3. Fees The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

- a. ☐ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 50-1417.
- i. ☐ RCE fee required under 37 C.F.R. § 1.117(e)
- ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
- iii. ☐ Other \_\_\_\_\_.
- b. ☐ Check in the amount of \$\_\_\_\_\_ enclosed
- c. ☒ Payment by credit card (Form PTO-2038 enclosed)

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	John R. Mattingly	Registration No. (Attorney/Agent)	30,293
Signature		Date	October 7, 2005

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope address to:  
Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on:

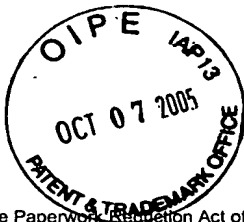
Name (Print/Type)		Date	
Signature			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending on the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND Fees and Completed Forms to the following address: Commissioner for Patents, Box RCE, Washington, DC 20231.

10/11/2005 SZEWDIE1 00000071 09451915

01 FC:1801

790.00 0P



PTO/SB/17 (12-04)

Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2005

Effective 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

TOTAL AMOUNT OF PAYMENT (\$ 790.00

Complete if Known	
Application Number	09/451,915
Filing Date	December 1, 1999
First Named Inventor	R. NISHIMURA
Attorney Docket No.	H-864

METHOD OF PAYMENT (check all that apply)  
☐ Check ☒ Credit Card ☐ Money ☐ Other ☐ None  
Order☒ Deposit Account:Deposit  
Account  
Number  
Deposit  
Account  
Name

50-1417

MATTINGLY, STANGER &amp; MALUR, P.C.

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application.☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1011 300 2011 150		Utility filing fee
1012 200 2012 105		Design filing fee
1013 200 2013 100		Plant filing fee
1014 300 2014 150		Reissue filing fee
1005 200 2005 100		Provisional filing fee
1111 500 2111 250		Utility Search fee
1112 100 2112 50		Design Search fee
1113 300 2113 150		Plant Search fee
1114 500 2114 250		Reissue Search fee
1311 200 2311 100		Utility Ex. fee
1312 130 2312 65		Design Ex. fee
1313 160 2313 80		Plant Ex. fee
1314 600 2314 300		Reissue Ex. fee

SUBTOTAL (1)

Fee Paid

0.00

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20 =	x 50 =	
Indep. Claims	-3 =	x 200 =	
Multiple Dependent		360 =	

Large Entity Small Entity

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 50 2202 25		Claims in excess of 20
1201 200 2201 100		Independent claims in excess of 3
1203 360 2203 180		Multiple dependent claim, if not paid
1204 200 2204 100		** Reissue independent claims over original patent
1205 50 2205 25		** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 0.00

\*\*or number previously paid, if greater; For Reissues, see above.

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Small Entity

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1051 130 2051 65		Surcharge - late filing fee or oath
1052 50 2052 25		Surcharge - late provisional filing fee or cover sheet
1053 130 2053 130		Non-English specification
1081 250 2081 125		Utility Application Size fee - for each 50 over 100
1082 250 2082 125		Design Application Size fee - for each 50 over 100
1812 2,520 2812 2,520		For filing a request for <i>ex parte</i> reexamination
1804 920* 2804 920*		Requesting publication of SIR prior to Examination action
1805 1,840 2805 1,840*		Requesting publication of SIR after Examiner action
1251 120 2251 60		Extension for reply within first month
1252 450 2252 225		Extension for reply within second month
1253 1020 2253 510		Extension for reply within third month
1254 1,590 2254 795		Extension for reply within fourth month
1255 2,160 2255 1,080		Extension for reply within fifth month
1401 500 2401 250		Notice of Appeal
1402 500 2402 250		Filing a brief in support of an appeal
1403 1000 2403 500		Request for oral hearing
1451 1,510 2451 1,510		Petition to institute a public use proceeding
1452 500 2452 250		Petition to revive - unavoidable
1453 1,500 2453 750		Petition to revive - unintentional
1501 1,400 2501 700		Utility issue fee (or reissue)
1502 800 2502 400		Design issue fee
1503 1,100 2503 550		Plant issue fee
1460 130 2460 130		Petitions to the Commissioner
1807 50 2807 50		Processing fee under 37 CFR 1.17(q)
1806 180 2806 180		Submission of Information Disclosure Stmt
8021 40 28021 40		Recording each patent assignment per property (times number of properties)
1809 790 2809 395		Filing a submission after final rejection (37 CFR § 1.129(a))
1810 790 2810 395		For each additional invention to be examined (37 CFR § 1.129(b))
1801 790 2801 395		Request for Continued Examination (RCE)
1802 900 2802 900		Request for expedited examination of a design application.

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

790

790.00

## SUBMITTED BY

Name (Print/Type)		Registration No. (Attorney/Agent)		Telephone	
John R. Mattingly		30,293		(703) 684-1120	
Signature		Date		October 7, 2005	

The submission of information is required by 37 CFR 1.17 and 1.17(b). The information is required to obtain or retain a benefit from the public which is the (and by the USPTO to process) an application. Confidentiality is provided by 38 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, organizing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing the burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-8199 and select option 3.